

EDITH COWAN UNIVERSITY
INTERNATIONAL COMPLIANCE REVIEW – FOREIGN ENGAGEMENT (ICR-FE)

For an Entity Collaborator provide details of the country(ies) where the entity is domiciled	1.	2.
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SECTION B: DETAILS OF ACTIVITY *(To be completed by ECU Staff representing ECU in the activity)*

B1: School or Centre

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B2: Name of ECU staff member(s) involved in the collaboration and their roles

Name 1:	Name 2:
Role:	Role:

B3: Name/Title of agreement/project

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B4: Details of the agreement/project – *please attach another sheet if necessary and include any other relevant documentation*

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SECTION C: COMPLIANCE REVIEW *(To be completed by ECU Staff representing ECU in the activity)*

C1: Is the foreign individual/entity from a sanctioned country?
<https://dfat.gov.au/international-relations/security/sanctions/sanctions-regimes/Pages/sanctions-regimes.aspx>

Yes	No	
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C2: Is the foreign individual/entity listed on the DFAT Consolidated List?
[Complete the ECU Consolidated List Review form \(CLRF\) and attach to this form before submitting.](#)

Yes	No	Unsure
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C3: Is the foreign individual/entity linked to a foreign government, foreign government-related entity or foreign political organisation in any way?
<https://www.ag.gov.au/Integrity/foreign-influence-transparency-scheme/Documents/fact-sheets/foreign-principals.pdf>

Yes	No	Unsure
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SECTION E: LEGAL AND INTEGRITY REVIEW			
Based on the information provided herein it is concluded that:			Approval Authority
	The arrangement is with an individual or entity from a sanctioned country or with a designated individual or entity.	SDVC	
	The arrangement is with a Foreign Principal but <u>is not</u> a Registrable Activity.	School/Centre head	
	The arrangement is with a Foreign Principal and <u>is</u> a Registrable Activity.	SDVC	
	Arrangement involves the supply, publishing or brokering of DSSL technology.	SDVC	
	Foreign interference is suspected.	SDVC	
	Foreign Relations – arrangement is with a foreign government and or a foreign university deemed to <u>not have institutional autonomy</u> . (Arrangement must be reported to the Minister for Foreign Affairs through the " Foreign Arrangements Scheme Online Portal ").	School/Centre head	
	The arrangement is not captured by the relevant compliance obligations (Sanctions, FITS, DTC, Foreign Interference, Foreign Relations or Indonesian Research Permits).	School/Centre head	
	Arrangement involves research in Indonesia - Indonesian Foreign Research Permit required.	School/Centre head	
Justification:	<ul style="list-style-type: none"> Sanctions – The individual/entity is / is not situated in a sanctioned country. Designated individuals/entities were / were not identified. DTC – The proposed activities do / do not appear to relate to the supply, publishing or brokering of DSSL technology. Foreign Interference (FI) – FI is / is not suspected. Conflicts of interest have/have not been declared. FITS – The entity appears to be / not be a foreign principal <ul style="list-style-type: none"> – The activities relating to the proposed arrangement do / do not appear to relate to a registrable activity. Foreign Relations – the arrangement is / is not with a foreign government and is / is not with a foreign university that has been deemed to <u>not have</u> institutional autonomy. 		
Name:		Signature:	
SECTION F: APPROVAL - SENIOR DEPUTY VICE-CHANCELLOR, EXECUTIVE DEAN OR DIRECTOR (OR NOMINEE)			
Application:	Approved	Not Approved	
Comments:			
Name:			
Position:			
Signature:		Date:	
Record Keeping	SUB/_____ To be kept by the School/Centre on HPRM file		