



## Milestone 3 (M3): Ethics and Governance Approvals Master by Research and Doctoral Degrees

Please submit your approved M3 form and supporting attachments online via the [M3: Ethics and Governance Submission Form](#).

| <b>SECTION A: TO BE COMPLETED BY CANDIDATE</b>   |  |                           |  |
|--|--|---------------------------|--|
| Student Given Name(s):   |  | Family Name:              |  |
| Student Number:  |  | Schools / Teaching Area:  |  |
| Course Level:  |  |                           |  |
| <b>Required Documentation for Milestone 3:<br/>(School MUST retain a copy of supporting documentation)</b>   |  |                           |  |
| <a href="#">Radiation, Biosafety, Hazardous Substances Approval</a> (If required).   |  | Riskware Ref No:          |  |
| Approved <a href="#">Riskware Health and Safety Risk Assessment</a> .  |  | Riskware Ref No:          |  |
| Approved Ethics application in <a href="#">REMS</a> or <a href="#">STREAM</a> or an Exempt or Out of Scope Notification Preparation of a <a href="#">Data Management Plan</a> .  |  |                           |  |
| Ethics Approval No:  |  |                           |  |
| Ethics Approval Date:  |  | Ethics Approval End Date: |  |
| <b>Candidate Declaration</b>   |  |                           |  |
| <p>I make the declarations and acknowledgements below:</p> <ul style="list-style-type: none"> <li>I will not perform or undertake any research until I receive explicit written advice from Graduate Research Services after completion of Milestone 3, including the provision of human ethics or animal ethics approval or Out of Scope Notification.</li> <li>I will retain copies of the requirements for Milestone 3, which I may be required to produce on request of the Associate Dean Research.</li> <li>I am aware of my responsibilities as a researcher including those that relate to Academic Integrity, Research Integrity, Occupational Health and Safety, and Research Ethics.</li> <li>I will seek guidance and assistance from my supervisor, Graduate Research Services, or Student Administration if I have any queries regarding my responsibilities.</li> <li>I understand that falsification of records or details may be construed as academic or non-academic misconduct and is subject to the right of the University to terminate my enrolment or impose other sanctions in accordance with the <a href="#">University Rules</a>.</li> </ul> |  |                           |  |
| CANDIDATE SIGNATURE:   |  | DATE:                     |  |

## SECTION B: TO BE COMPLETED BY PRINCIPAL SUPERVISOR

I make the declarations and acknowledgements below:

- I have reviewed the candidate's ethics application, riskware application and if application, Radiation, Biosafety, Hazardous Substances application and am satisfied the information provided is correct and accurately reflects the candidates research project.
- I have discussed research integrity, authorship, IP, data management and other aspects of the Australian Code for the Responsible Conduct of Research including health and safety with the student.
- There are sufficient resources, facilities, and budget to support the proposed project.
- **I have sighted all documents and the School has retained a copy of the documents submitted by the candidate for the required milestone and have retained the documents in line with ECU's data storage and retention policy.**

I believe the student has demonstrated the capability to continue in the research degree, and support this application for milestone 3; or

I do not believe the student has adequately demonstrated the capability to continue in the research degree and do not support this application for milestone 3.

|                 |           |      |
|-----------------|-----------|------|
|                 |           |      |
| SUPERVISOR NAME | SIGNATURE | DATE |

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