

Open Access Funding Support Scheme 2021

Background

Open Access increases access to research publications for the entire research community and can result in greater academic impact and citation of ECU Research across the world. Scholarly publication models continue to transform, but many journals still require a significant cost in the form of an Article Processing Charge (APC) to publish.

The Deputy Vice-Chancellor (Research), Professor Caroline Finch, is pleased to provide an additional allocation of \$150,000 from the Strategic Research Fund (SRF), increasing the Open Access Funding Support Scheme from \$300,000 to \$450,000 in 2021. The scheme is open immediately to any ECU researcher (staff member or PhD higher degree by research (HDR) student), to assist them to make their imminent 2021 publications available online through Open Access. These Q1/A* publications must be linked directly to one of the University's priority Field of Research (FoR) areas (see appendix for full list of identified priority FoRs) relating to our Strategic Research Themes.

Applying for Open Access funding for Article Processing Charges (APCs)

- Applications will be assessed as they are received, on a first-come first-served basis, and the scheme will continue until the funding allocation is expended in full or until all payments have been processed as per ECU Finance Department requirements. Applicants should receive the outcome of their funding assessment in the week following the one in which they lodge it with Library Research Services.
- All funded papers must be published and available online before 31 December 2021.

Conditions

1. The scheme is open immediately to any ECU research staff member or ECU HDR student, with a maximum of two approved applications funded as first or last author. They can also be named as co-author on papers led by other ECU researchers, as long as all other conditions are met.
2. Funding will only be provided where ECU authors are listed as first or last author. ECU will only contribute its relative proportion of the article processing charge when the co-authorship list includes co-authors from other Australian universities. See appendix for part payment requirements.
3. Applications relating to HDR student papers will only be considered when the HDR student is enrolled at ECU and the corresponding author is from ECU.
4. Funds are available for papers accepted in 2021. Applications close Friday 5 November 2021 (or earlier if all funds are allocated prior to this date) and all invoices must be received by Library Research Services by Friday 3 December 2021.
5. The scheme is open to researchers from all discipline areas, however journals must be ranked Q1 or A* ([ABDC List](#)), listed on the 2018 ERA Journal List, and be directly linked to one of the University's priority FoR codes (see appendix). Researchers should confirm whether the journal they want to publish in is on the 2018 ERA Journal List, and the FoRs associated with a journal, via the 'ERA Journal Lookup' function in [RAS](#).
NB: ECU priority FoR codes are identified at a four-digit level. If an application is received for a journal that is assigned to a two-digit FoR code or is assigned 'MD' (multidisciplinary in the ERA Journal List), Library staff will contact the author for more information about the specific four-digit FoR codes most relevant to the research itself before payment is approved.
6. Conference proceedings and book chapters are not eligible.
7. If approved, up to AU\$5,000 per paper will be supported.

8. If an ECU researcher has two (or more) author affiliations stated, support for publication will be considered, however funding would be capped at a maximum of half the cost of the APC (i.e. up to AU\$2,500). The other university the researcher is affiliated with would be expected to fund the remaining costs.
9. Only papers for which all ECU authors have an ORCID, and have connected their iD via [ECU-ORCID Connect](#), will be supported.
10. Only papers for which all ECU authors have updated their [‘My Profile’ in RAS](#) will be supported – this includes provision of their FoR codes and nominating their relevant University Research Themes/Priority Areas.
11. All supported papers must be lodged in the [ECU Institutional Repository](#).
12. Exceptions to any of the above conditions will only be approved under exceptional circumstances and will be considered on a case-by-case basis.

To apply for these funds, please complete and submit the online [application form](#).

Appendix
Open Access Scheme 2021
ECU Priority Field of Research Codes (FoR) 2008

To be eligible for funding from the 2021 Open Access Scheme articles must be accepted for publication in Q1 or A* titles listed in the 2018 ERA Journal List and directly aligned to one of ECU's Priority FoR codes.

NB: ECU priority FoR codes are identified at a four-digit level. If an application is received for a journal that is assigned to a two-digit FoR code or is assigned 'MD' (multidisciplinary in the ERA Journal List). Library staff will contact the author for more information about the specific four-digit FoR codes most relevant to the research itself before payment is approved.

Priority FoR codes as supplied to Library Research Services for the purpose of the ECU Open Access Scheme 2021.

2008 FoR	Subject Area
03	Chemical Science
0301	Analytical Chemistry
0304	Medicinal and Biomolecular Chemistry
04	Earth Sciences
0406	Physical Geography and Environmental Geoscience
05	Environmental Sciences
0501	Ecological Applications
0502	Environmental Science and Management
0503	Soil Sciences
06	Biological Sciences
0601	Biochemistry and Cell Biology
0604	Genetics
0602	Ecology
0603	Evolutionary Biology
0605	Microbiology
0607	Plant Biology
07	Agricultural and Veterinary Sciences
0703	Crop and Pasture Production
0704	Fisheries Sciences
0706	Horticultural Production
08	Information and Computing Sciences
0801	Artificial Intelligence and Image Processing
0803	Computer Software
0806	Information Systems
0899	Other Information and Computing Sciences
09	Engineering
0904	Chemical Engineering
0905	Civil Engineering

0906	Electrical and Electronic Engineering
0912	Materials Engineering
0913	Mechanical Engineering
0914	Resources Engineering and Extractive Metallurgy
11	Medical and Health Sciences
1106	Human Movement and Sports Science
1117	Public Health/ Aboriginal and Torres Strait Islander Health
1110	Nursing
1101	Medical Biochemistry and Metabolomics
1103	Clinical Sciences
1109	Neurosciences
1111	Nutrition and Dietetics
1112	Oncology and Carcinogenesis
13	Education
1301	Education Systems
1302	Curriculum and Pedagogy
1303	Specialist Studies in Education
1399	Other Education
15	Commerce, Management, Tourism and Services
1503	Business and Management
1505	Marketing
1506	Tourism
1502	Banking and Finance
16	Studies in Human Society
1602	Criminology
1607	Social Work
1605	Policy and Administration
1608	Sociology
17	Psychology and Cognitive Sciences
1701	Psychology
1702	Cognitive Science
18	Law and Legal Studies
1801	Law
19	Studies in Creative Arts and Writing
1901	Art Theory and Criticism
1903	Journalism and Professional Writing
1904	Performing Arts and Creative Writing
1905	Visual Arts and Crafts
20	Language, Communication and Culture
2001	Communications and Media Studies
2002	Cultural Studies
2004	Linguistics
2005	Literary Studies

Appendix
Open Access Scheme 2021
Process for part payment of an Article Processing Charge

In addition to the Open Access Funding Support Scheme Guidelines this process outlines the financial requirements to facilitate part payment of an Article Processing Charge (APC).

Conditions:

- The ECU Author is the first or last author but has more than one affiliation listed or co-authors are from other Australian Universities.
- The ECU School of the ECU first or last author will make payment of the APC to the publisher directly.

Process:

1. All applications seeking funding for part payment of an APC must include written agreement that the ECU School will pay the full APC to the publisher directly.
2. DVCR or delegate reviews the application in line with the Open Access Funding Support Guidelines; if approved, part payment of the APC requires the following steps.
3. The ECU School of the first or last author pays the APC in full to the publisher directly.
4. The ECU author provides the ECU Library with the following information to generate reimbursement of proportion of the APC
 - a. Proof of payment of the APC from the School via the PO or credit card statement and A\$ amount to be reimbursed
 - b. Copy of invoice from publisher
 - c. Project code to which the reimbursement should be made
5. ECU Library will request journal transfer of agreed reimbursement to be made to School.
6. Responsibility to collect reimbursement from other co-authors remains with the School.