



**Australian Government** 

## **Australian Research Council**

## Research Management System (RMS)

## User Guide for Applicants and Research Office Staff – Submitting a Request Not to Assess

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## Introduction

The Research Management System (RMS) is a web-based application developed by the Australian Research Council (ARC) and now used by multiple agencies to manage their Grant Programs. Each agency can utilise functions such as: submissions, request not to assess, assignment and assessment, rejoinders, announcement, grant offers/acceptance, and post-award activities such as grant variations and project reporting.

More detailed information on the agencies utilising RMS is available on the <u>Research Grant Services</u> page of the ARC Website.

#### **RMS Access**

The web address to access RMS is dependent on the agency or program that you are needing to interact with.

- ARC (NCGP) RMS instance <u>https://rms.arc.gov.au</u>
- DESE (NCRIS) RMS instance <u>https://dese.researchgrants.gov.au</u>
- ONI (NISDRG) RMS instance <u>https://rmsoni.researchgrants.gov.au</u>
- Defence (NISDRG) RMS Instance <u>https://defence.researchgrants.gov.au</u>

**Note** - You only need one account to access RMS, regardless of agency or program you are accessing, however you will require specific roles for each version/instance of RMS.

All screenshots provided within this document have been sourced from the NCGP instance of RMS and images may differ slightly dependent on the instance you are using.

## **Key Points**

- The target audience for this user guide is scheme round participants and Research Office staff who will be submitting a Request Not to Assess (RNTA) within RMS.
- RMS is compatible with the latest versions of Google Chrome and Microsoft Edge. The ARC cannot guarantee compatibility with other browsers or older versions of Google Chrome or Microsoft Edge.
- RNTAs can only be created or edited by individuals who have full edit access to the corresponding scheme round application within RMS. For additional details on granting full access to individuals please see the 'Submitting an Application' user guide available on the <u>ARC website</u>.
- An RNTA will only become available once the scheme round application has information contained in the Application Title, Application Summary, Administering Organisation detail and at least 1 Chief Investigator listed. For further information on how to complete these details please refer to the scheme specific Instructions to Applicants available on the Grant Opportunity located on <u>GrantConnect</u>.
- A total of <u>three individuals</u> can be added to an RNTA. This limit is enforced at the application level and does not increase based on the number of listed participants. Additional justification will be required for requests for College of Experts.

## PART 1 – Creation and Deletion of a Request Not to Assess

Requests Not to Assess (RNTA) are associated by application ID. To create the RNTA, ensure that the application form has been created and the below details have been completed within the application form.

- Application Title
- Application Summary
- Administering Organisation
- Lead Investigator

**Note** – Only individuals with full edit access to the application form will be able to create or edit the RNTA. For further information on granting full access to individuals please see the 'Submitting an Application' user guide available on the <u>ARC website</u>.

#### 1.1 – Creating a Request Not to Assess

Once the application form has the required information entered the below steps can be completed to create the RNTA.

- Return to the RMS Action Centre
- Select the 'Request not to Assess' link from the Action Centre

Applicant Applications						t
Create Draft Application						
All Scheme Rounds		✓ Create	e Draft Application			
Draft Applications Request not to Assess Applications Rejoinders						
Application	Title	Investigators	Scheme Round	Status	Actions	
IDXXXXXXXXX	test	Dr Test Applicant	IDXX round 1	Draft	Edit Details Delete Access	
			Showing 1 of 1 application	INS.		

Figure 1 – Request not to Assess link from Action Centre

• Locate the corresponding scheme round application by selecting the Scheme Round from the filter

Draft Applications	Request not to Assess	Applic	ations	Rejoinders Project	Reports Final Reports		
Scheme Round			Search			Status	
All Open Scheme R	ounds	~	Applic	cation/Title/Chief Investig	ator	Select	

Figure 2 – Scheme Round filter menu

• Select the 'Create' button with the associated scheme round application

	equest not to Assess							
Draft Application	Request not to Assess	Applications	Rejoinders	Project Reports	Final Reports			
Scheme Round		Sea	arch			Status		
IDXX round 1		✓ A	pplication/Title/Ch	ief Investigator		Selec	zt	
			Showi	ng 1 of 1 applications.				
Application /	Application Title	Chief Investigate	or Sche	eme Round	Admin Organ	nisation	Status	Action
IDX00000000	Test Application Title	Mr Test User	IDX	( round 1			Not Created	Create
			Showi	ng 1 of 1 applications.				

Figure 3 – Create button with association scheme round application

Confirm you wish to create an RNTA for an application

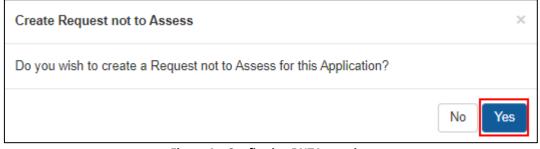


Figure 4 – Confirming RNTA creation

#### **1.2 – Returning to a Previously Created Request Not to Assess**

Once the RNTA form has been created within RMS you can save changes and resume editing at anytime prior to the RNTA closure date.

- Select the 'Request not to Assess' link from the Action Centre (Figure 1)
- Locate the relevant RNTA from the available list
- Select the 'Edit' button to resume editing a created RNTA

Action Centre / Requ	uest not to Assess					
Draft Applications	Request not to Assess	Applications	Rejoinders Project	Reports Final Reports		
Scheme Round		Sea	rch		Status	
IDXX round 1		✓ Apple	pplication/Title/Chief Investiga	ator	Select	~
			Showing 1 of 1 ap	oplications.		
Application App	olication Title Chi	ief Investigator	Scheme Round	Admin Organisation	Status	Action
IDXXXXXXXX Test	t Application Title Mr	Test User	IDXX round 1	Test Admin Organisation	Ready to Submit	Edit Submit to Research Office Delete
			Showing 1 of 1 ap	oplications.		

Figure 5 – 'Edit' button within RNTA page

#### 1.3 – Deleting a Previously Created Request Not to Assess

If an RNTA has been created in error, it can be deleted within RMS prior to submission to the Research Office. If you wish to delete a request after Research Office submission, please contact the application Administering Organisation who can delete the RNTA on your behalf.

- Select the 'Request not to Assess' link from the Action Centre (Figure 1)
- Locate the relevant RNTA from the available list
- Select the 'Delete' button to remove the RNTA

**Note** – Deleting an RNTA will revert the status to 'Not Created' and if an RNTA is required at a later stage Step 1.1 must be repeated.

Action Centre /	Request not to Assess					
Draft Applicati	ons Request not to As	ssess Applications	Rejoinders Project	Reports Final Reports		
Scheme Round		Search			Status	
IDXX round	1	<ul> <li>✓ Appli</li> </ul>	cation/Title/Chief Investig	jator	Select	~
			Showing 1 of 1 a	pplications.		
Application	Application Title	Chief Investigator	Scheme Round	Admin Organisation	Status	Action
IDX00000000	Test Application Title	Mr Test User	IDXX round 1	Test Admin Organisation	Ready to Submit	Edit Submit to Research Office Detete
			Showing 1 of 1 a	pplications.		

Figure 6 – Deleting an RNTA

## PART 2 – Completing the Request Not to Assess form

Once the 'Create' button has been selected an RNTA form becomes available for completion. This form displays application details including the Application, Title, Summary, Administering Organisation and the name of the Lead Investigator.

• To begin entering details of the individual select the 'Add Assessor' button located at the bottom of the form

Action Centre / Request not to Assess / RNTA IDXXXXXXXX
RNTA IDXXXXXXX
1. Application Summary
Application Code
IDXXXXXXX
Application Title
Test Application Title
Application Summary
Test Application Summary
Admin Organisation
Test Admin Organisation
Chief Investigator
Mr Test User
2. Assessor Details
A minimum of 1 assessor is required
Add assessor

Figure 7 – 'Add Assessor' button at the bottom of the RNTA form

- Once the 'Add Assessor' button is selected a Yes/No question will display requesting if the individual is currently recognised as an ARC College of Experts member.
- If the answer is no, select No from the dropdown menu and continue to <u>Section 2.2</u> of this document.
- If the answer is yes, additional questions will appear in the drop down as shown in <u>Section</u> <u>2.1</u> of this document.

**Note** – If you are unsure if the individual is currently serving as a College of Experts member the list is available on the <u>ARC website</u>, alternatively a list of active members can be located within the RNTA form after selecting 'Yes' to the college member question (further details on locating this list can be found in <u>Section 2.1</u> of this document).

Assessor an ARC College Member ?	
This item must be answered	
elect College Member	
rovide Justification for Request	
haracters. 2500 characters maximum.	
ssessor Name	
ssessor Email Address	
haracters. 200 characters maximum. ssessor Organisation	
haracters. 200 characters maximum.	
ssessor Department	
haracters. 200 characters maximum.	

Figure 8 – 'Is Assessor an ARC College Member' dropdown question

• If an individual is entered in error the Assessor section can be removed by selecting the 'X' on the top right of the individual information box

-	2. Assessor Details	
	Is Assessor an ARC College Member ?	× •
	This item must be answered	

Figure 9 – 'X' to remove assessor from RNTA

### 2.1 – College of Experts Member Request Not to Assess Entry

If the individual is currently serving as an ARC College of Expert member the name can be selected from the available dropdown list, and additional justification is required prior to submission of the RNTA form.

• Once 'Yes' is selected to the college member dropdown two additional mandatory fields will display within the form.

Assessor Details	
Is Assessor an ARC College Member ? Yes	د ۷
	•
Select College Member	~
	*
This item must be answered     Acurrent ARC College Member must be selected	
Provide Justification for Request	
	//
characters. 2500 characters maximum.	
<ul> <li>characters. 2500 characters maximum.</li> <li>This item must be answered</li> </ul>	A
This item must be answered	
This item must be answered Assessor Name	
This item must be answered Assessor Name characters. 200 characters maximum.	
This item must be answered Assessor Name characters. 200 characters maximum.	
This item must be answered Assessor Name characters. 200 characters maximum. Assessor Email Address	
This item must be answered Assessor Name  tharacters. 200 characters maximum. Assessor Email Address tharacters. 200 characters maximum.	
This item must be answered Assessor Name  characters. 200 characters maximum.  Assessor Email Address  characters. 200 characters maximum.  Assessor Organisation	
This item must be answered  Assessor Name  Characters. 200 characters maximum.  Assessor Email Address  characters. 200 characters maximum.  Assessor Organisation  characters. 200 characters maximum.	
This item must be answered  Assessor Name  Characters. 200 characters maximum.  Assessor Email Address  Characters. 200 characters maximum.  Assessor Organisation  characters. 200 characters maximum.	

Figure 10 – ARC College Member mandatory fields

- Please select the ARC College Member from the drop-down list and provide justification for this request.
- When all the mandatory fields have been filled out, press the 'Save' button on the top right of the page.

		Save	Close
۹	Search		

Figure 11 – 'Save' Button in top right

2. Assessor Details	
Is Assessor an ARC College Member ?	×
Yes	~
Select College Member	
Prof.	~
Provide Justification for Request	
Test Justification Text	
23 characters. 2500 characters maximum. Assessor Name	
Assessor Name	
characters. 200 characters maximum.	
Assessor Email Address	
Assessor Organisation	
characters. 200 characters maximum.	
Assessor Department	
characters. 200 characters maximum.	
Add assessor	

Figure 12 – Completed College Member RNTA form

- Any additional individuals can then be added to the RNTA by selecting the 'Add Assessor' button.
- For any additional ARC College of Expert member, please repeat the steps outlined in <u>section</u> <u>2.1</u> of this document.
- For individuals who are not currently recognised as a College member please continue to section 2.2 of this document

**Note:** There is a limit of <u>three individuals</u> can be requested per application.

#### 2.2 – Non-College of Experts Member Request Not to Assess Entry

Individuals who are not an ARC College member do not require additional justification within the RNTA form. To ensure the RNTA can be processed information regarding the individual is requested.

• Once 'No' is selected to the college member dropdown additional fields display, including the individuals name, email address, organisation, and department.

2 Assessor Details	
Is Assessor an ARC College Member ?	×
No	~
Select College Member	
	~
Provide Justification for Request	
Assessor Name	
characters. 200 characters maximum.	
This item must be answered	
Assessor Email Address	
characters. 200 characters maximum.	
Assessor Organisation	
characters. 200 characters maximum.	
This item must be answered	
Assessor Department	
•	
characters. 200 characters maximum.	
Add assessor	

Figure 13 – Non-ARC College Member additional information fields

• The Assessor name and Organisation are mandatory fields and must be completed prior to RNTA submission

Note - to assist in the identification of current assessors please complete as many fields as possible

• When all known fields have been filled out, press the 'Save' button on the top right of the page.

		Save	Close	]
Q	Search			

Figure 14 – 'Save' Button in top right

Assessor Name		
Test Assessor		
13 characters. 200 characters maximum.		
Assessor Email Address		
test@uni.org.au		
15 characters. 200 characters maximum.		
Assessor Organisation		
University		
10 characters. 200 characters maximum.		
Assessor Department		
Science		
7 characters. 200 characters maximum.		
Add assessor		
Auu assessor		

Figure 15 – Completed Non-College Member RNTA form

# PART 3 – Submitting the Request Not to Assess to the Research Office

All RNTAs must be reviewed by the application Administering Organisation's Research Office prior to submission.

- Before submitting your RNTA to the Research Office, the Chief Investigator must:
  - review the form to ensure the information to be submitted is accurate
    - ensure the form is complete and valid
- Once the above has been confirmed, click on the 'Submit to Research Office' button from the Request Not to Assess Page.

Draft Applications	Request not to Asses	s Applications	Rejoinders Project	Reports Final Reports		
Scheme Round		Se	arch		Status	
IDXX round 1		~	Application/Title/Chief Investig	ator	Select	
			Showing 1 of 1 ap	plications.		
Application App	lication Title (	Chief Investigator	Scheme Round	Admin Organisation	Status	Action
IDXXXXXXXXX Test	Application Title	/Ir Test User	IDXX round 1	Test Admin Organisation	Ready to Submit	Edit Submit to Research Office Delete

Figure 16 – Submitting to the Research Office

• A message will appear requesting confirmation to submit to the Research Office. To finalise submission, select 'Yes'. To exit submission and continue editing the RNTA select 'No'

Submit to Research Office	×
Do you wish to submit this Request not to Assess to the Research Office?	
	No Yes

Figure 17 – Message confirming RNTA submission to Research Office

## PART 4 – Research Office Request Not to Assess Submission

RNTAs are submitted by participants to the Research Office for review prior to final submission.

• To view RNTAs, select the 'Research Office Request not to Assess' link from the Action Centre.



Figure 18 – RO Navigating to Request Not to Assess forms

Select the relevant details from the dropdown filters to display RNTA

٠

	Research Office Reques	t not to Assess					Add to Dashboard
					٩	Search	
				Notification	ns 🛄	Dashboard 💄	Mr Test User
Action Centre /	Research Office Request not to	Assess					
Draft Applicatio	Request not to Assess	Applications Rejoinders	Projects Variatio	ons Monitoring Reports	Final R	leports	
Program Select	Scheme Select	Scheme Round	~	Search Application/Title/Chief Investig	gator	Statu	JS Select V

Figure 19 – Choosing the relevant scheme round

• To review or edit an RNTA select the 'Edit' button to the right of the relevant application. This will display the form as completed by the participant. Additional details can be completed as outlined in <u>Part 2 of this document</u>.

Action Centre / Rese	arch Office Request not to A	SSESS			
Draft Applications	Request not to Assess	Applications Rejoinders Project	ts Variations Monito	oring Reports Final Reports	
Program	Scheme	Scheme Round	Search		Status
Program	~ Scheme	V IDXX round 1	Application/Title/Chief Investigator		Submitted to Rese. ~
Select All Deselect A	Submit to ARC	Showing 1 of 1 a	applications.		
Application	Application Title	Chief Investigator	Scheme Round	Status	Action
	Test	Mr Test User	IDXX round 1	Submitted to Research Office	Edit Submit to ARC Return to Draft Delete
		Showing 1 of 1 a	applications.		

Figure 20 - 'Edit' button within RO RNTA screen

• If amendments are required to the RNTA, the RNTA can be returned to the participant by selecting 'Return to Draft' to the right of the relevant application. This will return the RNTA to the participant who can then make amendments and re-submit to the Research Office.

Action Centre / Resea	arch Office Request not to A	ISSESS			
Draft Applications	Request not to Assess	Applications Rejoinders Proje	cts Variations Monito	oring Reports Final Reports	
Program	Scheme	Scheme Round	Search		Status
Program	∽ Scheme	V IDXX round 1	✓ Application	/Title/Chief Investigator	Submitted to Rese ~
Select All Deselect A	Submit to ARC	Showing 1 of 1	applications.		
Application	Application Title	Chief Investigator	Scheme Round	Status	Action
	Test	Mr Test User	IDXX round 1	Submitted to Research Office	Edit Submit to ARC Return to Draft Delete
		Showing 1 of 1	applications.		

Figure 21 – 'Return to Draft' Button within RO RNTA screen

• Once the RNTA details have been confirmed the RNTA can be submitted using the 'Submit to ARC' button to the right of the relevant application.

**Note** – Further editing or de-submission to the applicant is not possible once the RNTA is submitted. If you require the RNTA to be returned, please contact the relevant contact details outlined in the Instructions to Applicants for the scheme.

Action Centre / Rese	arch Office Request not to A	ISSESS					
Draft Applications	Request not to Assess	Applicat	tions Rejoinders Projec	cts Variatio	ons Monito	pring Reports Final Reports	
Program	Scheme		Scheme Round		Search		Status
Program	∽ Scheme	~	IDXX round 1	~	Application/Title/Chief Investigator		Submitted to Rese ~
Select All Deselect A	All Submit to ARC		Showing 1 of 1	applications.			
Application	Application Title		Chief Investigator	Scheme	Round	Status	Action
	Test		Mr Test User	IDXX ro	ound 1	Submitted to Research Office	Edit Submit to ARC Return to Draft Delete
			Showing 1 of 1	applications.			

Figure 22 – 'Submit' Button within RO RNTA screen

• A message will appear requesting confirmation of submission. To finalise submission, select 'Yes'. To exit submission and continue editing the RNTA select 'No'



Figure 23 – Confirming Submission Message

## PART 5 – Contacting the ARC for additional help

 If you are experiencing any technical issues or require help with navigating RMS whilst completing your Request Not To Assess, please contact the RMS Helpdesk at <u>RMSSupport@ARC.gov.au</u>